

UNCLASSIFIED

CLASSIFIED MILITARY INFORMATION CASE REPORT

CASE ID: _____ SHORT TITLE : _____

DATE IN: _____ DATE OUT : _____ LOG IN: _____ LOG OUT: _____ LOCAL USE: _____

NDP INFO CATEGORY: _____ SIGNIFICANT DISCLOSERS: _____ REPETITIVE : _____ RELEASE CONFIRMATION : _____ FLAGS : A B _ C _ D _

ACTION AGENCY : _____ OFFICE: _____ DECOMPARTMENTED: _____

REQUESTING COUNTRIES

CASE DESCRIPTION

CASE DECISION: _____

CROSS-REFERENCE

CASE TYPE CASE ID

CASE REMARKS

LINE ITEMS

ITEM NO.: _ ITEM CLASS IFICATION : _____ ITEM ID NO.: _____ ITEM TYPE CODE : _ _ _

ITEM NAME

ITEM DECISION: _____ AGENCY: _____ OFFICE : _____ RELEASE CONFIRMATION : (3)

ITEM REMARKS

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Figure D-1 . CMI Case Report

DATA ELEMENT DESCRIPTION
CMI CASE REPORTS

1. Case ID - A case ID number that is assigned automatically by FORDTIS when the case is entered in the system.
- 2* Short Title - A brief case description.
3. Date In - The date the case is entered in FORDTIS.
4. Date Out - The date that action on the case is completed.
5. Log In - An optional field for a local log number.
6. Log Out - An optional field to record outgoing correspondence.
7. Local Use - A user-defined data element.
8. NDP Info Category - The NDP Information Category Code.
See Appendix A, Table 2.

9. Significant Disclosure - This data element shall contain a "Y" or an "N." See Chapter 1, section B., for the definition of a significant disclosure.
- 100 Repetitive - This data element shall contain a "Y" or an "N" to indicate if the disclosure is one time or repetitious.
11. Release Confirmation - This data element shall contain a "Y" or an "N" to indicate if the disclosure has been made.
12. Flags - These are user-defined flags. The case originator must be contacted for exact definitions.
13. Action Agency - The DoD Component having primary responsibility for case processing.
14. Office - The activity or command within the agency that handles the case.
15. Decompartmented - This data element shall contain a "Y" or an "N" to indicate if the information being disclosed was derived from sensitive intelligence sources or methods.
16. Requesting Countries - The countries to which the disclosure is made. The action may have originated at the request of the country or on a U.S. initiative.
17. Case Description - An overall description of the case.
18. Case Decision - The final decision on the case. See Appendix A, Table 6.
19. Cross-Reference - This is a two-part data element consisting of "CASE TYPE" and "CASE ID." The CASE TYPE refers to a FORDTIS data base and the CASE ID to a specific case in the data base that is related in some way to the case record. This data element is unrestricted and for that reason there may be reference to cases that are not in a FORDTIS data base.
20. Case Remarks - This data element contains information that the case processor considers important to an understanding of the case or the case decision.
21. Item No. - A sequence number for each case line item.
22. Item Classification - The security classification of the document or items disclosed or denied.
23. Item ID No. - A unique document or item identification number.
24. Item Type Code - See Appendix A, Table 3.

25. Item Name - The document title or item name for each line item.
26. Item Decision - The decision with regard to the line item.
In cases with one line item, this shall be the same as CASE DECISION.
27. Agency - The agency that has primary responsibility for the line item.
28. Office - The office or activity within the agency that has primary responsibility for the line item.
29. Release Confirmation - A flag that will indicate whether or not a disclosure has been completed.
30. Item Remarks - Any information that is important in understanding the case or the case decision.

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NATIONAL DISCLOSURE POLICY EXCEPTION CASE REPORT

CASE ID: _____ SHORT TITLE : _____

SPONSORING AGENCY : _____ DATE IN: _____ DATE OUT : _____ EXCEPTION TYPE : _____

RECORD OF ACTION: _____ EXPIRATION DATE: _____ NDP INFO CATEGORY: _____ EXCEPTION LEVELS : _____

COUNTRY : _____

CASE DESCRIPTION

EXCEPTION STATUS : _____

CASE REMARKS

AMENDMENTS

AMENDMENT NO.: _ AMENDMENT DATE: _____ AMENDMENT STATUS: _____

SPONSORING AGENCY : _____

AMENDMENT REMARKS

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Figure D-2. NDPE Case Report

DATA ELEMENT DESCRIPTION
NDPE CASE REPORTS

1. Case ID - The case ID number is the NDPC case number assigned by the sponsor. This is not the Record of Action (RA) number on the case (Data Element No. 7.).
2. Short Title - A brief description of the case.
- 3* Sponsoring Agency - The member of the NDPC that sponsored the case before the Committee.
4. Date In - The date the NDPC received the case for action.
- 5* Date Out - The date the NDPC rendered its decision.
6. Exception Type - The NDPC exception type.
7. Record of Action - The control number assigned to a completed exception case.
8. Expiration Date - The date the exception is no longer valid.
9. NDP Info Category - The information category. See Appendix A, Table 2.

10. Exception Levels - The level of disclosure approved by the exception for each NDP Info Category.
11. Country - The countries for which the disclosure exceptions are approved or denied.
12. Case Description - A description of the case.
13. Exception Status - The current status of the case.
14. Case Remarks - This data element contains information useful in understanding the case or the decision on the case.
15. Amendments - An amendment to the NDPE case approved by the NDPC.
16. Amendment No. - The sequential number of any amendments to the original exception.
17. Amendment Date - The date the amendment was approved or denied.
18. Amendment Status - The current status of the amendment.
19. Sponsoring Agency - The member of the NDPC that sponsored the amendment before the Committee.
20. Amendment Remarks - This data element contains information that explains how the NDPE case was amended.

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MUNITIONS CASE REPORT

CASE ID: SNORT TITLE :

DATE IN: DATE OUT: QUANTITY : VALUE : CLASSIFICATION OF MUNITION:

APPLICANT : CASE TYPE: .

CASE DESCRIPTION

DESTINATION COUNTRIES

DOD POSITION: U.S. GOVERNMENT DECISION: CROSS-REFERENCE

CASE TTPE CASE Id

CASE REMARKS

UNCLASSIFIED

Figure D-3. Munitions Case Report

- DATA ELEMENT DESCRIPTION
- MUNITIONS CASE REPORTS
1. Case ID - The case ID number assigned by the DoS.

2. Short Title - A brief description of the case.

3* Date In - The date the case was received in the Department of Defense for processing.

4. Date Out - The date action on the case was completed in the Department of Defense.

5* Quantity - The quantity of the commodity proposed for export.

6. value - The dollar value of the case commodities.

7. Classification of Munition - The security classification, if any, of the commodity for export.

8. Case Description - A description of the commodity to be exported.

9. Destination Countries - The names of the countries to receive the export.

10. DoD Position - The position of the Department of Defense to approve, approve with conditions, or deny the export license.

11. U.S. Government Decision - The final decision on the license rendered by the DoS.
12. Cross-Reference - This data element consists of two parts, the CASE TYPE and the CASE ID. The CASE TYPE refers to a FORDTIS data base and the CASE ID refers to a specific case in the data base that is related to the case record.
13. Case Remarks - This data element contains information deemed important by the case processor for understanding the case or the case decision.

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COMMODITY CONTROL LIST CASE REPORT

CASE ID: SNORT TITLE:

MTE IN: MTE OUT: CCL No. : VALDS : TECH MTA : RE-EXPORT :

APPLICANT:

CASK DESCRIPTION

(MAX - 5{lines} x 78)

DESTINATION COUNTRIES

END USER : CITY:

END USE

DOD POSITION: U.S. GOVERNMENT DECISION

CROSS-REFERENCE

CASE TYPE CASE ID

CASE REMARKS

(MAX - 60{lines} x 78)

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Figure D-4. CCL Case Report

- DATA ELEMENT DESCRIPTION
- CCL CASE REPORTS
1. Case ID - The case ID number assigned by the DoC.

2. Short Title - A brief description of the case.

3. Date In - The date the case was received in the Department of Defense for processing.

4. Date Out - The date action on the case was completed in the Department of Defense.

5. CCL No. - The commodity classification number from the CCL.

6. Value - The dollar value of the commodities proposed for export assigned by the exporter.

7. Tech Data - This data element shall contain a "Y" or an "I?" to indicate if the export consists only of technical data.

8. Re-export - This data element shall contain a "Y" or an "N" to indicate if the commodity shall be re-exported to a third country.

9. Applicant - The name of the company that applied for the export license.
10. Case Description - A description of the proposed export.
11. Destination Countries - The countries receiving the exported commodities.
12. End User - The name of the activity in the destination country that will use the exported commodity as reported by the exporter.
13. City - The city where the end user is located.
14. End Use - The use to be made of the exported commodity as reported by the exporter.
15. DoD Position - The position of the Department of Defense to approve, approve with conditions, or deny the export license.
16. U.S. Government Position - The final decision on the license rendered by the DoC.
17. Cross-Reference - This data element consists of two parts, CASE TYPE and CASE ID. The CASE TYPE refers to a FORDTIS data base and the CASE ID refers to a specific case in the data base that is related to the case report.
18. Case Remarks - This data element contains information entered by the case processor that is important to understanding the case or the case decision.

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COCOM CASE REPORT

CASE ID: _____ SHORT TITLE : _____

DATE IN: _____ MTE OUT: _____ COCOM IN: _____ COCOM OUT: _____ COCOM LIST: _ _ _

APPLICANT COUNTRY : _____ VALUE \$: _____ NE-EXPORT : _ TECH DATA :

CASE DESCRIPTION

DESTINATION COUNTRIES

END USER : _____ CITY: _____

END USE

DoD POSITION : _____ U.S. GOVERNMENT POSITION: _____

CROSS-REFERENCE
CASE TYPE CASE 10

COCON DECISION: _____

REMARKS

UNCLASSIFIED

Figure D-5. COCOM Case Report

DATA ELEMENT DESCRIPTION
COCOM CASE REPORTS

1. Case ID - The case ID number assigned by COCOM.
2. Short Title - A brief description of the case.
3. Date In - The date action on the case was received in the Department of Defense for processing.
4. Date Out - The date action on the case was completed in the Department of Defense.
- 5* COCOM IN - The date the case was received in COCOM.
6. COCOM OUT - The date the case was completed and a decision rendered in COCOM.
7. COCOM LIST - The COCOM list number that identifies the commodity category.
8. Applicant Country - The country submitting the case to COCOM for consideration.
- 9" Value \$ - The dollar value of the commodities proposed for export as provided by the exporter.

10. Re-Export - This data element contains a "Y" or an "N" to indicate if the commodity will be re-exported to a third country.
11. Tech Data - This data element contains a "Y" or an "N" to indicate if the export consists only of technical data.
12. Case Description - The description of the proposed export.
13. Destination countries - The countries receiving the exported commodities .
14. End User - The name of the activity in the receiving country that will use the exported commodity as stated by the exporter.
15. City - The city where the end user is located.
16. End Use - The use to be made of the commodity as stated by the exporter.
17. DoD Position - The position of the Department of Defense to approve, approve with conditions, or deny the export license.
18. U.S. Government Position - The U.S. national position returned to COCOM.
19. COCOM Decision - The final decision rendered on the case by COCOM.
20. Cross-Reference - This data element is in two parts, CASE TYPE and CASE ID. The CASE TYPE refers to a FORDTIS data base and the CASE ID refers to a specific case in the data base that related to the case record.
- 21* Remarks - This data element contains any information that the case processor deemed important to an understanding of the case or the case decision.